

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application

Masayuki FUJITA et al

Prior Group Art Unit: 1713

Serial No.: To be assigned - New U.S. Divisional Application
of U.S. Serial No. 09/661,626

Prior Examiner: L. Choi

Filed: December 15, 2003

For: MODIFIED ALUMINUM OXY COMPOUND, POLYMERIZATION CATALYST AND
PROCESS FOR PRODUCING OLEFIN POLYMER AND ALKENYL AROMATIC
HYDROCARBON POLYMER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.56

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:


Pursuant to 37 CFR §1.56, the applicants hereby call to the attention of the Patent and Trademark Office the references listed on the attached List of References. All of these references are of record in the parent application; copies need not be submitted (see 37 CFR §198(d)). This list of references is being provided to ensure listing of these references on a patent to issue in this application in accordance with the following paragraph of MPEP 609:

"Information which is cited or submitted to the Office in the parent application of a file wrapper continuing application under 37 CFR 1.62 will be part of the file before the examiner and need not be resubmitted in the continuing application to have the information considered and listed on the patent. Likewise, the examiner will consider information cited or submitted to the Office in a parent application when examining a continuing application which is not a file wrapper continuing application, and a list of the information need not be submitted in the continuing application unless applicant desires the information to be printed on the patent." (emphasis added).

Applicant presents these references so that the Patent Office may, in the first instance, determine any relevancy thereof to the presently claimed invention; see Beckman Instruments, Inc. v. Chemtronics, Inc., 439 F.2d 1369, 1380, 165 USPQ 355, 364 (5th Cir. 1970).

Applicant respectfully requests that these references be expressly considered during the prosecution of this application and made of record herein and appear among the "References Cited" on any patent to issue herefrom.

Respectfully submitted,



Thomas P. Pavelko
Registration No. 31,689

TPP/mat
Attorney Docket No.: TPP 30818DIV

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Date: December 15, 2003

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CONFIRMATION CLAIM FOR CONVENTION PRIORITY

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

The benefit of the filing date of the following foreign application filed in the following foreign country and priority provided in the 35 USC §119 have been claimed for the above-identified application:

Japanese Patent Application No. 10-255287, filed September 9, 1998.

Copies of the priority documents were filed in the parent application.

It is requested that the file of this application be marked to indicate that the requirements of 35 USC §119 have been fulfilled and that the Patent and Trademark Office kindly acknowledge receipt of these papers. The benefit of the filing date of the following prior foreign application filed in the

following foreign country is hereby requested for the above-identified application and the priority provided in 35 USC 119 is hereby claimed:

Respectfully submitted,



Thomas P. Pavelko
Registration No. 31,689

TPP:mat
Attorney Docket No.: TPP 30818DIV

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Date: December 15, 2003

FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO. TPP 30818DIV	SERIAL NO. To be assigned
APPLICANT Masayuki FUJITA et al		
FILING DATE December 15, 2003		PRIOR GROUP 1713

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4	9	9	0	6	4	0		02/91	Tsutsui et al**			
	4	5	4	2	1	9	9		09/85	Kaminsky et al***			
	5	2	0	4	4	1	9		04/93	Tsutsui et al****			
	5	0	4	1	5	8	4		08/91	Crapo et al			
	5	4	8	9	6	5	9		02/96	Sugano et al			
	5	6	4	8	4	4	0		07/97	Sugano et al			
	5	4	1	6	2	2	9		05/95	Tran et al.			
	5	3	9	1	7	9	3		02/95	Marks et al			
	6	1	0	0	2	1	3		08/00	Kumamoto et al			
	5	6	7	0	5	8	9		09/97	Geerts et al			
	4	9	9	0	6	4	0		02/91	Tsutsui et al			
	5	3	9	1	7	9	3		02/95	Marks et al			
	5	3	2	9	0	3	2		07/94	Tran et al			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	6	1	3	6	0	5	3		05/94	JP			X	
	2	6	9	3	5	3	8		09/97	JP			X	
	9	4	1	0	1	8	0		05/94	WO				
	5	8	1	9	3	0	9		02/83	JP			X	
	6	0	2	6	0	6	0	2	12/85	JP			X	
	6	0	1	3	0	6	0	4	07/85	JP			X	
	6	3	1	3	0	6	0	1	06/88	JP			X	
	6	3	2	9	7	1	4		11/94	JP			X	

	0	4	5	2	1	5	6		10/91	EP				
	0	9	0	6	9	1	4		04/99	EP				
	1	9	7	1	1	3	0	4	10/97	DE				
	0	7	2	6	2	7	1		08/96	EP				
	0	5	6	1	4	7	6		09/93	EP				
	0	2	6	9	0	0	2		06/88	EP				
	9	5	3	2	0	9	5		11/95	WO				
	1	0	8	1	1	6	7		03/01	EP				
	0	0	2	1	7	0	1	6	06/02	EP				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	European Search Report dated April 25, 2001
	E. Lindner, et al., "Poly(Alumosiloxanes) as Matrixes for the Immobilization of Catalytically Active Ruthenium (II) Complexes," Chem. Mater, Vol. 10, No. 1, 1998, pages 217-225

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])